



Crown Family School Institutional Research Board (IRB)

Federal-Wide Assurances: University of Chicago FWA00005565

IRB Registration Number: IRB00000332

Unaffiliated Investigator Agreement
Reliance on the Crown Family School Institutional Review Board (IRB)

This is a joint agreement to accept the Crown Family School Institutional Review Board (IRB) as the IRB of Record on behalf of the unaffiliated investigator identified below for named research project below.
An Unaffiliated Investigator Agreement is required from the University of Chicago Crown Family School IRB when it serves as the IRB of Record for an unaffiliated investigator engaged in a non-exempt human subject research project with the University of Chicago, and it extends its Federal-Wide Assurance (FWA) to cover an unaffiliated investigator.
To be engaged in a University of Chicago research project means an unaffiliated investigator will (i) intervene or interact with individuals for research purposes; or (ii) obtain, receive or use identifiable private information for research purposes; or (iii) obtain informed consent from research subjects; or (iv) observe or record private behavior or interactions for research purposes.
The Crown Family School IRB may terminate the Unaffiliated Investigator Agreement if a new risk discovered during the course of the research is likely to cause severe harm to research participants or in the event of investigators not complying with IRB determinations on a continuous basis. The Crown Family School IRB will terminate the Unaffiliated Investigator Agreement once the IRB registration, IRB00000332, is no longer active under the University of Chicago Federal Wide Assurance, FWA00005565.
The Unaffiliated Investigator Agreement expires when the unaffiliated investigator is no longer working on the project or at the completion of the named research project below, whichever comes first.

Table with 2 columns and 3 rows: Title of research project, Protocol IRB number, Name of Unaffiliated Investigator.

Check here if an unaffiliated investigator is conducting collaborative research activities outside the facilities of the University of Chicago but who is not acting as an employee of an institution with respect to his or her involvement in the research protocol;

OR

Check here if an unaffiliated investigator is acting as employee of a non-assured institution with respect to his/her/their involvement in the research protocol being conducted by the University of Chicago and who is employed by a non-assured institution that does not routinely conduct human subjects research.



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CONDUCT OF RESEARCH AGREEMENT

- Unaffiliated investigator has completed training in the protection of human subjects in research, and, when applicable has completed any additional educational training required by funding source or the Crown Family School IRB.
- Unaffiliated investigator agrees to cooperate with the Crown Family School IRB in fulfilling the research team's responsibility for initial and continuing review by the IRB. The unaffiliated investigator will not conduct any human subject activities for research project referenced above prior to IRB review and approval by the Crown Family School IRB, nor after the completion or termination/expiration of the research project.
- Unaffiliated investigator must conduct the research project within the protocol as approved by the Crown Family School IRB, including informed consent and data security procedures.
- Unaffiliated investigator acknowledges that the primary responsibility of the research project reference above is to safeguard the rights and welfare of each research subject, and that the subject's rights and welfare must take precedence over the goals and requirements of the named research project.
- Unaffiliated investigator will obtain the Crown Family School IRB's approval of proposed change(s) to the protocol prior to initiating the change(s), except where necessary to eliminate apparent immediate hazards to research subjects.
- Unaffiliated investigator will submit to the Principal Investigator at the Reviewing Institution any unanticipated problems involving risks to or complaints from human subjects, loss of research data, non-compliance to research project activities as approved by the Crown Family School IRB.
- Unaffiliated investigator will submit any applicable annual progress reports and/or financial information regarding research participant compensation to the Principal Investigator at the Reviewing Institution.
- Unaffiliated investigator will submit information regarding financial conflicts of interest specific to named research project, as applicable.
- Unaffiliated investigator will end research activities as instructed by Principal Investigator in the event of a suspension, termination or completion of the research project.
- Unaffiliated investigator will allow the Principal Investigator at the Reviewing Institution and the Crown Family School IRB access to research related records of the unaffiliated investigator.



THE UNIVERSITY OF
CHICAGO

Crown Family School of Social Work, Policy and Practice
(Crown Family School)

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The unaffiliated investigator signing below agree to rely on the designated Crown Family School IRB for review and oversight of research project referenced above.

Signature of Unaffiliated Investigator:

_____ Date: _____
Name:
Title:
Address:
Phone Number: _____ Email: _____

Note: The Crown Family School IRB registration status with DHHS will expire December 31, 2024, and as a result this agreement shall end on December 31, 2024.

Principal Investigator Signature at the University of Chicago:

_____ Date _____
Name:
Phone Number: _____ Email: _____

Signature of Signatory Official at the University of Chicago:

_____ Date: _____
Print Name: Jennifer A. Ponting
Institutional Title: Associate Vice President & Director for Research Administration
Address: 6054 S Drexel Avenue, Suite 300, Chicago, IL 60637-2612
Phone Number: 773-702-8604 Email: ponting@uchicago.edu

Please keep a copy of signed Agreement, and return an executed version to the Crown Family School IRB.



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Attachment A

Description of all research activities to be conducted by the unaffiliated investigator